



PATENT
Attorney Docket No: 81784.0014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ryuji NISHIKAWA

Serial No: [APPLICATION NUMBER]

Filed: June 1, 2004

For: LIQUID CRYSTAL DISPLAY DEVICE

Art Unit: 2871

Examiner: Zhi Qiang Qi

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 715 820 536 US

Date of Deposit: June 1, 2004

Mail Stop CPA
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 770.00 as filing fee (Deposit Acct. charged for add'l. claims)
- ☒ Response To Final Office Action
- ☒ Information Disclosure Statement with 6 references
- ☒ return postcard
- ☒ Request For Extension of Time, 2 months
- ☒ check in the amount of \$ 420.00

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop CPA
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Date: June 1, 2004

Hogan & Hartson, LLP
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

RIGKEY SYKES

Name of person mailing papers

Signature



PATENT
81784.0014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ryuji NISHIKAWA

Serial No: 09/447,378

Filed: November 23, 1999

For: LIQUID CRYSTAL DISPLAY
DEVICE

Art Unit: 2871

Examiner: Zhi Qiang Qi

**TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT**

Mail Stop CPA
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 6 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a Notice Of Grounds For Rejection for a counterpart application. The Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,
HOGAN & HARTSON L.L.P.

Date: June 1, 2004

Biltmore Tower
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071
Telephone: (213) 337-6700
Facsimile: (213) 337-6701

By: 

John P. Scherlacher
Registration No. 23,009
Attorney for Applicant(s)

FORM PTO-1449

JUN 01 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)
81784.0014Application Number
09/447,378

Applicant

Ryuji NISHIKAWA

Filing Date

November 23, 1999

Group Art Unit

2871

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-------------------------------------------------------|------------|----------------------|-------|----------|-------------------------------|
| | 5,473,455 (U.S. counterpart of Cited Reference) | 12/05/1995 | Yoshio Koike, et al. | 359 | 76 | 12/18/1992 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------|
| | Japanese Patent Laid-open Publication No. Hei 5-173142 (cited reference 1) with English abstract |
| | Japanese Patent Laid-open Publication No. Hei 6-118426 (Cited Reference 2) with English abstract and translation of the relevant portions |
| | Japanese Patent Laid-open Publication No. Hei 5-173138 (Cited Reference 3, corresponding to USPN 5,473,455 and 5,796,458) with English abstract |
| | Japanese Patent Laid-open Publication No. Hei 8-136931 (Cited Reference 4) with English abstract |
| | |
| | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.